



Professions in Short Supply applicable to the Advanced Professionals Programme of the Modern Finance Industry

Professional Fields	Professional Subcategory	Professions in Short Supply
Business development of bond markets	Bond issuance	<ul style="list-style-type: none">• Specialists in issuance, underwriting and pricing of bonds• Legal professionals in providing legal services for bond issuance• Specialists in credit rating of bonds or bond issuers• Specialists in planning and organising the marketing of bonds
	Bond trading	<ul style="list-style-type: none">• Specialists in bond trading and analysis• Management professionals of bond exchanges• Management professionals of bond platforms• Specialists in bond clearing and settlement and depository



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Professional Fields	Professional Subcategory	Professions in Short Supply
	Compliance and risk management related to bonds	<ul style="list-style-type: none"> Specialists in bond issuance and trading compliance and risk management
	Bond products planning	<ul style="list-style-type: none"> Specialists in bond related investment products planning
Business development of wealth management	Establishment and management of publicly offered and private equity funds	<ul style="list-style-type: none"> Specialists in investment funds planning Fund managers who manage investment funds Legal professionals specialised in providing legal services for the establishment and operation of investment funds
	Development and management of wealth management products	<ul style="list-style-type: none"> Specialists in planning of wealth management products
	Asset allocation	<ul style="list-style-type: none"> Financial planning specialists in asset allocation in



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		accordance to the financial status and risk bearing ability of customers
	Wealth preservation and inheritance	<ul style="list-style-type: none"> • Financial planning specialists in wealth preservation, inheritance and succession etc • Legal professionals in providing legal services for establishment and operation of trusts • Management professionals in execution of trust investment and allocation policies
	Compliance and risk management related to wealth management	<ul style="list-style-type: none"> • Specialists in the establishment, operation, and compliance and risk management of investment funds, financial products and trusts
Business development of	Sustainable financial products development	<ul style="list-style-type: none"> • Specialists in sustainable financial



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sustainable finance		products planning
	Standards formulation and accreditation	<ul style="list-style-type: none"> • Specialists in research and formulation of Environmental, Social and Governance (ESG) standards • Specialists in conducting accreditation of Environmental, Social and Governance (ESG) related financial products, projects or enterprises
	Information disclosure and reporting	<ul style="list-style-type: none"> • Specialists in Environment, Social and Governance (ESG) related information disclosure and reporting
	Compliance and risk management related to sustainable finance	<ul style="list-style-type: none"> • Specialists in compliance and risk management related to the establishment, accreditation and information disclosure of sustainable financial products



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Business development of commercial banking	Management of commercial banking institutions	<ul style="list-style-type: none"> • Management professionals of commercial banks
	Compliance and risk management of commercial banks	<ul style="list-style-type: none"> • Specialists in the compliance and risk management of commercial banks

Development of insurance businesses	Management of insurance institutions	<ul style="list-style-type: none"> • Management professionals of insurance companies or insurance brokers
	Insurance actuary	<ul style="list-style-type: none"> • Actuarial specialists of insurance companies
	Compliance and risk management of insurance businesses	<ul style="list-style-type: none"> • Specialists in the compliance and risk management of insurance companies and insurance brokers
Application development of financial technologies	Application development of financial technologies	<ul style="list-style-type: none"> • Specialists in application development and solution providers to establish and promote a universal payment



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		<p>platform for different payment methods, connecting global networks of payment products or mobile payments</p> <ul style="list-style-type: none"> • Specialists in cyber security technology application • Specialists in block chain technology research and application development • Information technology specialists in financial technology products development • Information technology specialists in building up and operating the infrastructure of financial systems
Financial research	Financial legislation	<ul style="list-style-type: none"> • Specialists in providing services for financial legislation



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	Macroeconomic and industry research and analysis	<ul style="list-style-type: none">• Specialists in researching global macroeconomic trends, policies, and financial markets and industries
	Teaching and research in finance	<ul style="list-style-type: none">• Teaching and research personnel in finance at higher education institutes

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